

# The Tetrahedron Algebra and its Finite-Dimensional Irreducible Modules

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## Abstract

Recently Terwilliger and the present author found a presentation for the three-point  $\mathfrak{sl}_2$  loop algebra via generators and relations. To obtain this presentation we defined a Lie algebra  $\boxtimes$  by generators and relations and displayed an isomorphism from  $\boxtimes$  to the three-point  $\mathfrak{sl}_2$  loop algebra. In this paper we classify the finite-dimensional irreducible  $\boxtimes$ -modules.

## 1 Introduction and Statement of Results

In [8] Terwilliger and the present author gave a presentation of the three-point  $\mathfrak{sl}_2$  loop algebra via generators and relations. To obtain this presentation we defined a Lie algebra  $\boxtimes$  by generators and relations and displayed an isomorphism from  $\boxtimes$  to the three-point  $\mathfrak{sl}_2$  loop algebra.

In this paper we classify the finite-dimensional irreducible  $\boxtimes$ -modules. To obtain this classification, we exploit a connection between  $\boxtimes$  and a certain infinite-dimensional Lie algebra which appears in the physics literature, called the Onsager algebra [1], [6], [7], [12]. Before we explain our results we first give some background on  $\boxtimes$  and the Onsager algebra. We start with their definitions.

Throughout the paper  $\mathbb{K}$  denotes an algebraically closed field of characteristic 0.

**Definition 1.1** [8] Let  $\boxtimes$  denote the Lie algebra over  $\mathbb{K}$  with generators

$$\{X_{rs} | r, s \in \mathbb{I}, r \neq s\} \quad \mathbb{I} = \{0, 1, 2, 3\}$$

and the following relations.

(i) For all distinct  $r, s \in \mathbb{I}$ ,

$$X_{rs} + X_{sr} = 0. \tag{1}$$

(ii) For all mutually distinct  $r, s, t \in \mathbb{I}$ ,

$$[X_{rs}, X_{st}] = 2X_{rs} + 2X_{st}. \tag{2}$$

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(iii) For all mutually distinct  $r, s, t, u \in \mathbb{I}$ ,

$$[X_{rs}, [X_{rs}, [X_{rs}, X_{tu}]]] = 4[X_{rs}, X_{tu}]. \quad (3)$$

We call  $\boxtimes$  the *tetrahedron algebra*.

**Definition 1.2** [12], [13] Let  $\mathcal{O}$  denote the Lie algebra over  $\mathbb{K}$  with generators  $X, Y$  and relations

$$[X, [X, [X, Y]]] = 4[X, Y], \quad (4)$$

$$[Y, [Y, [Y, X]]] = 4[Y, X]. \quad (5)$$

We call  $\mathcal{O}$  the *Onsager algebra*. We call  $X, Y$  the *standard generators* for  $\mathcal{O}$ .

We recall the connection between  $\boxtimes$  and  $\mathcal{O}$ . For mutually distinct  $r, s, t, u \in \mathbb{I}$  there exists a Lie algebra injection from  $\mathcal{O}$  into  $\boxtimes$  that sends

$$\begin{aligned} X &\mapsto X_{rs} \\ Y &\mapsto X_{tu} \end{aligned}$$

[8, Corollary 12.2]. We call the image of this injection an *Onsager subalgebra* of  $\boxtimes$ . Observe that  $\boxtimes$  has three Onsager subalgebras. By [8, Theorem 11.6] the  $\mathbb{K}$ -vector space  $\boxtimes$  is the direct sum of its three Onsager subalgebras.

We now summarize the classification of finite-dimensional irreducible  $\mathcal{O}$ -modules [4], [5]. We begin with a comment. Let  $V$  denote a finite-dimensional irreducible  $\mathcal{O}$ -module. As we will see in Section 2, the standard generators  $X, Y$  are diagonalizable on  $V$ . Furthermore there exist an integer  $d \geq 0$  and scalars  $\alpha, \alpha^* \in \mathbb{K}$  such that the set of distinct eigenvalues of  $X$  (resp.  $Y$ ) on  $V$  is  $\{d - 2i + \alpha \mid 0 \leq i \leq d\}$  (resp.  $\{d - 2i + \alpha^* \mid 0 \leq i \leq d\}$ ). We call the ordered pair  $(\alpha, \alpha^*)$  the *type* of  $V$ . Replacing  $X, Y$  by  $X - \alpha I, Y - \alpha^* I$  the type becomes  $(0, 0)$ . Therefore it suffices to classify the finite-dimensional irreducible  $\mathcal{O}$ -modules of type  $(0, 0)$ .

We begin with a special case. Observe that up to isomorphism, there exists a unique irreducible  $\mathcal{O}$ -module of dimension 1 and type  $(0, 0)$ . We call this the *trivial*  $\mathcal{O}$ -module.

Let  $\mathfrak{sl}_2$  denote the Lie algebra over  $\mathbb{K}$  with basis  $e, f, h$  and Lie bracket

$$[e, f] = h, \quad [h, e] = 2e, \quad [h, f] = -2f.$$

Let  $t$  denote an indeterminate and let  $L(\mathfrak{sl}_2)$  denote the loop algebra  $\mathfrak{sl}_2 \otimes \mathbb{K}[t, t^{-1}]$ , where  $\otimes$  means  $\otimes_{\mathbb{K}}$ . By [14, Proposition 1] there exists a Lie algebra injection from  $\mathcal{O}$  into  $L(\mathfrak{sl}_2)$  that sends

$$\begin{aligned} X &\mapsto e \otimes 1 + f \otimes 1 \\ Y &\mapsto e \otimes t + f \otimes t^{-1}. \end{aligned}$$

For the moment we identify  $\mathcal{O}$  with its image under the above injection. For nonzero  $a \in \mathbb{K}$  we define the Lie algebra homomorphism  $EV_a : L(\mathfrak{sl}_2) \rightarrow \mathfrak{sl}_2$  by  $EV_a(u \otimes g(t)) = g(a)u$  for all  $u \in \mathfrak{sl}_2$  and  $g(t) \in \mathbb{K}[t, t^{-1}]$ . Let  $ev_a$  denote the restriction of  $EV_a$  to  $\mathcal{O}$ . Then  $ev_a : \mathcal{O} \rightarrow \mathfrak{sl}_2$

is a Lie algebra homomorphism which we call the *evaluation homomorphism* for  $a$ . Let  $V$  denote an irreducible  $\mathfrak{sl}_2$ -module with finite dimension at least 2. We pull back by  $ev_a$  to get an  $\mathcal{O}$ -module structure on  $V$ . We call this an *evaluation module* for  $\mathcal{O}$  and denote it by  $V(a)$ . The  $\mathcal{O}$ -module  $V(a)$  is irreducible if and only if  $a \neq \pm 1$  [3, Lemma 4]. Irreducible  $\mathcal{O}$ -modules  $V(a)$  and  $V(b)$  are isomorphic if and only if  $a = b^{\pm 1}$  [14, Proposition 5].

Let  $U, V$  denote  $\mathcal{O}$ -modules. Then  $U \otimes V$  has an  $\mathcal{O}$ -module structure given by

$$x.(u \otimes v) = (x.u) \otimes v + u \otimes (x.v) \quad x \in \mathcal{O}, \quad u \in U, \quad v \in V.$$

Let  $V$  denote an  $\mathcal{O}$ -module that is the tensor product of finitely many evaluation modules. If  $V$  is irreducible then it is type  $(0, 0)$  [3, p. 3281].

The classification of finite-dimensional irreducible  $\mathcal{O}$ -modules of type  $(0, 0)$  is given in the following three theorems.

**Theorem 1.3** [3, Theorem 6] *Every nontrivial finite-dimensional irreducible  $\mathcal{O}$ -module of type  $(0, 0)$  is isomorphic to a tensor product of evaluation modules.*

**Theorem 1.4** [3, Proposition 5] *Let  $V_1(a_1), \dots, V_n(a_n)$  denote a finite sequence of evaluation modules for  $\mathcal{O}$ , and consider the  $\mathcal{O}$ -module  $V_1(a_1) \otimes \dots \otimes V_n(a_n)$ . This module is irreducible if and only if  $a_1, a_1^{-1}, \dots, a_n, a_n^{-1}$  are mutually distinct.*

**Definition 1.5** Let  $V_1(a_1), \dots, V_n(a_n)$  denote a finite sequence of evaluation modules for  $\mathcal{O}$ . Let  $V$  denote the  $\mathcal{O}$ -module  $V_1(a_1) \otimes \dots \otimes V_n(a_n)$ . Any tensor product of evaluation modules that can be obtained from  $V$  by permuting the order of the factors and replacing any number of the  $a_i$ 's with their multiplicative inverses will be called *equivalent* to  $V$ .

**Theorem 1.6** [3, Proposition 5] *Let  $U$  and  $V$  denote tensor products of finitely many evaluation modules for  $\mathcal{O}$ . Assume each of  $U, V$  is irreducible as an  $\mathcal{O}$ -module. Then the  $\mathcal{O}$ -modules  $U$  and  $V$  are isomorphic if and only if they are equivalent.*

This completes the classification of finite-dimensional irreducible  $\mathcal{O}$ -modules.

We now state our main results. They are contained in the following two theorems and subsequent remark.

**Theorem 1.7** *Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. Then there exists a unique  $\mathcal{O}$ -module structure on  $V$  such that the standard generators  $X, Y$  act on  $V$  as  $X_{01}, X_{23}$  respectively. This  $\mathcal{O}$ -module structure is irreducible and has type  $(0, 0)$ .*

**Theorem 1.8** *Let  $V$  denote a finite-dimensional irreducible  $\mathcal{O}$ -module of type  $(0, 0)$ . Then there exists a unique  $\boxtimes$ -module structure on  $V$  such that the standard generators  $X, Y$  act on  $V$  as  $X_{01}, X_{23}$  respectively. This  $\boxtimes$ -module structure is irreducible.*

**Remark 1.9** *Combining the previous two theorems we obtain a bijection between the following two sets:*

- (i) *The isomorphism classes of finite-dimensional irreducible  $\mathcal{O}$ -modules of type  $(0, 0)$ .*
- (ii) *The isomorphism classes of finite-dimensional irreducible  $\boxtimes$ -modules.*

## 2 $\mathcal{O}$ -Modules and Tridiagonal Pairs

In order to prove Theorem 1.7 and Theorem 1.8 it will be useful to consider how finite-dimensional irreducible  $\mathcal{O}$ -modules are related to tridiagonal pairs. To explain this relationship we use the following concepts. Let  $V$  denote a vector space over  $\mathbb{K}$  with positive finite dimension. By a *linear transformation on  $V$*  we mean a  $\mathbb{K}$ -linear map from  $V$  to  $V$ . Let  $A$  denote a linear transformation on  $V$ . For  $\lambda \in \mathbb{K}$  define

$$V_A(\lambda) := \{v \in V \mid Av = \lambda v\}. \quad (6)$$

Observe that  $\lambda$  is an eigenvalue for  $A$  if and only if  $V_A(\lambda) \neq 0$ , and in this case  $V_A(\lambda)$  is the corresponding eigenspace of  $A$ . We recall that the sum  $\sum_{\lambda \in \mathbb{K}} V_A(\lambda)$  is direct. We call  $A$  *diagonalizable* whenever  $V = \sum_{\lambda \in \mathbb{K}} V_A(\lambda)$ .

**Lemma 2.1** *Let  $V$  denote a vector space over  $\mathbb{K}$  with positive finite dimension. Let  $A$  and  $A^*$  denote linear transformations on  $V$ . Then for  $\lambda \in \mathbb{K}$  the following (i), (ii) are equivalent.*

(i) *The expression  $[A, [A, [A, A^*]]] - 4[A, A^*]$  vanishes on  $V_A(\lambda)$ .*

(ii)

$$A^*V_A(\lambda) \subseteq V_A(\lambda + 2) + V_A(\lambda) + V_A(\lambda - 2). \quad (7)$$

*Proof.* Let  $\Phi$  denote the expression in (i) and observe

$$\Phi = A^3A^* - 3A^2A^*A + 3AA^*A^2 - A^*A^3 - 4AA^* + 4A^*A.$$

For  $v \in V_A(\lambda)$  we evaluate  $\Phi v$  using  $Av = \lambda v$  to find

$$\begin{aligned} \Phi v &= (A^3A^* - 3\lambda A^2A^* + 3\lambda^2 AA^* - \lambda^3 A^* - 4AA^* + 4\lambda A^*)v \\ &= (A - (\lambda + 2)I)(A - \lambda I)(A - (\lambda - 2)I)A^*v. \end{aligned}$$

The scalars  $\lambda + 2, \lambda, \lambda - 2$  are mutually distinct since  $\text{Char}(\mathbb{K}) = 0$ . The result follows. ■

We now recall the concept of a *tridiagonal pair* [2], [9], [10], [11].

**Definition 2.2** [10] Let  $V$  denote a vector space over  $\mathbb{K}$  with positive finite dimension. By a *tridiagonal pair* on  $V$ , we mean an ordered pair  $A, A^*$  where  $A$  and  $A^*$  are linear transformations on  $V$  that satisfy the following four conditions.

(i) Each of  $A, A^*$  is diagonalizable.

(ii) There exists an ordering  $V_0, V_1, \dots, V_d$  of the eigenspaces of  $A$  such that

$$A^*V_i \subseteq V_{i+1} + V_i + V_{i-1} \quad 0 \leq i \leq d, \quad (8)$$

where  $V_{-1} = 0, V_{d+1} = 0$ .

(iii) There exists an ordering  $V_0^*, V_1^*, \dots, V_\delta^*$  of the eigenspaces of  $A^*$  such that

$$AV_i^* \subseteq V_{i+1}^* + V_i^* + V_{i-1}^* \quad 0 \leq i \leq \delta,$$

where  $V_{-1}^* = 0, V_{\delta+1}^* = 0$ .

(iv) There does not exist a subspace  $W$  of  $V$  such that  $AW \subseteq W, A^*W \subseteq W, W \neq 0$ , and  $W \neq V$ .

We comment on Definition 2.2. Let  $A, A^*$  denote a tridiagonal pair on  $V$  and let  $d$  and  $\delta$  be as in Definition 2.2. From [10, Lemma 4.5] we find  $d = \delta$ . We call this common value the *diameter* of  $A, A^*$ . An ordering of the eigenspaces of  $A$  (resp.  $A^*$ ) will be called *standard* whenever it satisfies Definition 2.2(ii) (resp. Definition 2.2(iii)). Let  $V_0, \dots, V_d$  denote a standard ordering of the eigenspaces of  $A$ . By [15, p. 388], the ordering  $V_d, \dots, V_0$  is standard and no other ordering is standard. A similar result holds for  $A^*$ . By an *eigenvalue sequence* (resp. *dual eigenvalue sequence*) of  $A, A^*$  we mean an ordering of the eigenvalues for  $A$  (resp.  $A^*$ ) for which the corresponding ordering of the eigenspaces is standard.

**Definition 2.3** Let  $\theta_0, \theta_1, \dots, \theta_d$  denote a finite sequence of scalars in  $\mathbb{K}$ . We say this sequence is *arithmetic with common difference 2* whenever  $\theta_{i-1} - \theta_i = 2$  for  $1 \leq i \leq d$ .

**Theorem 2.4** Let  $V$  denote a vector space over  $\mathbb{K}$  with positive finite dimension. Let  $A$  and  $A^*$  denote linear transformations on  $V$ . Then the following (i), (ii) are equivalent.

- (i)  $A, A^*$  is a tridiagonal pair on  $V$  whose eigenvalue sequence and dual eigenvalue sequence are both arithmetic with common difference 2.
- (ii) There exists an irreducible  $\mathcal{O}$ -module structure on  $V$  such that the standard generators  $X, Y$  act on  $V$  as  $A, A^*$  respectively.

*Proof.* (i)  $\Rightarrow$  (ii) : We first show that  $A, A^*$  satisfy

$$[A, [A, [A, A^*]]] = 4[A, A^*]. \quad (9)$$

By assumption the tridiagonal pair  $A, A^*$  has an eigenvalue sequence that is arithmetic with common difference 2. Let  $V_0, V_1, \dots, V_d$  denote the corresponding ordering of the eigenspaces of  $A$ . This ordering is standard by construction so it satisfies (8). By these comments we find that  $A^*$  satisfies (7) for all  $\lambda \in \mathbb{K}$ . By Lemma 2.1 and since  $A$  is diagonalizable on  $V$  we find  $A, A^*$  satisfy (9). Reversing the roles of  $A$  and  $A^*$  in the above argument we find  $A, A^*$  satisfy

$$[A^*, [A^*, [A^*, A]]] = 4[A^*, A]. \quad (10)$$

By (9) and (10) there exists an  $\mathcal{O}$ -module structure on  $V$  such that  $X, Y$  act as  $A, A^*$  respectively. This  $\mathcal{O}$ -modules structure is irreducible by Definition 2.2(iv).

(ii)  $\Rightarrow$  (i) : [10, Example 1.6] Since  $\mathbb{K}$  is algebraically closed all the eigenvalues for  $A$  are contained in  $\mathbb{K}$ . Since  $V$  has positive finite dimension,  $A$  has at least one eigenvalue  $\lambda$ . Since  $\text{Char}(\mathbb{K}) = 0$ , the scalars  $\lambda, \lambda + 2, \dots$  are mutually distinct and therefore cannot all

be eigenvalues for  $A$ . Hence there exists an eigenvalue  $\theta$  for  $A$  such that  $\theta + 2$  is not an eigenvalue for  $A$ . The scalars  $\theta, \theta - 2, \dots$  are mutually distinct and therefore cannot all be eigenvalues for  $A$ . Hence there exists a nonnegative integer  $d$  such that  $\theta - 2i$  is an eigenvalue of  $A$  for  $0 \leq i \leq d$  but is not an eigenvalue of  $A$  for  $i = d + 1$ . Abbreviate  $V_A(\theta - 2i)$  by  $V_i$  for  $0 \leq i \leq d$ . By construction  $\sum_{i=0}^d V_i$  is  $A$ -invariant. By Lemma 2.1 we find

$$A^*V_i \subseteq V_{i+1} + V_i + V_{i-1} \quad (0 \leq i \leq d),$$

where  $V_{-1} = 0, V_{d+1} = 0$ . Therefore  $\sum_{i=0}^d V_i$  is  $A^*$ -invariant. Since the  $\mathcal{O}$ -module structure is irreducible and since  $\sum_{i=0}^d V_i \neq 0$  we find  $\sum_{i=0}^d V_i = V$ . We have now shown that  $A$  is diagonalizable and Definition 2.2(ii) holds. Reversing the roles of  $A$  and  $A^*$  in the above argument we find  $A^*$  is diagonalizable and Definition 2.2(iii) holds. Definition 2.2(iv) is immediate since the  $\mathcal{O}$ -module  $V$  is irreducible. We have now shown that  $A, A^*$  is a tridiagonal pair on  $V$ . Recall that  $\theta - 2i$  is the eigenvalue for  $A$  associated with  $V_i$  for  $0 \leq i \leq d$ . The ordering  $V_0, \dots, V_d$  is standard so the sequence  $\theta - 2i$  ( $0 \leq i \leq d$ ) is an eigenvalue sequence for  $A, A^*$ . This sequence is arithmetic with common difference 2. We have now shown  $A, A^*$  has an arithmetic eigenvalue sequence with common difference 2. Reversing the roles of  $A$  and  $A^*$  in the above argument we find that  $A, A^*$  has an arithmetic dual eigenvalue sequence with common difference 2. ■

**Definition 2.5** Let  $V$  denote a finite-dimensional irreducible  $\mathcal{O}$ -module. By Theorem 2.4 there exist an integer  $d \geq 0$  and scalars  $\alpha, \alpha^* \in \mathbb{K}$  such that the set of distinct eigenvalues of  $X$  (resp.  $Y$ ) on  $V$  is  $\{d - 2i + \alpha | 0 \leq i \leq d\}$  (resp.  $\{d - 2i + \alpha^* | 0 \leq i \leq d\}$ ). We call  $d$  the *diameter* of  $V$ . We call the ordered pair  $(\alpha, \alpha^*)$  the *type* of  $V$ .

**Note 2.6** Let  $V$  denote a finite-dimensional irreducible  $\mathcal{O}$ -module of type  $(\alpha, \alpha^*)$ . Replacing  $X, Y$  by  $X - \alpha I, Y - \alpha^* I$  the type becomes  $(0, 0)$ .

Restricting Theorem 2.4 to type  $(0, 0)$  we get the following corollary.

**Corollary 2.7** Let  $V$  denote a vector space over  $\mathbb{K}$  with positive finite dimension. Let  $A$  and  $A^*$  denote linear transformations on  $V$ . Then the following (i), (ii) are equivalent.

- (i)  $A, A^*$  is a tridiagonal pair on  $V$  and  $d - 2i$  ( $0 \leq i \leq d$ ) is both an eigenvalue sequence and dual eigenvalue sequence for  $A, A^*$ , where  $d$  denotes the diameter.
- (ii) There exists an irreducible  $\mathcal{O}$ -module structure on  $V$  of type  $(0, 0)$  such that the standard generators  $X, Y$  act on  $V$  as  $A, A^*$  respectively.

*Proof.* Immediate from Theorem 2.4 and the definition of type.

### 3 Finite-Dimensional Irreducible $\boxtimes$ -Modules

Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. In this section we obtain the following description of  $V$ . We show that each generator  $X_{rs}$  of  $\boxtimes$  is diagonalizable on  $V$ . We show that there exists an integer  $d \geq 0$  such that for each  $X_{rs}$  the eigenvalues for  $X_{rs}$  on  $V$  are  $d, d-2, \dots, -d$ . We give the action of each  $X_{rs}$  on the eigenspaces of the other generators. In our investigation we use the following lemma.

**Lemma 3.1** *Let  $V$  denote a vector space over  $\mathbb{K}$  with positive finite dimension. Let  $A$  and  $B$  denote linear transformations on  $V$ . Then for  $\lambda \in \mathbb{K}$  the following (i), (ii) are equivalent.*

(i) *The expression  $[A, B] - 2A - 2B$  vanishes on  $V_A(\lambda)$ .*

(ii)  *$(B + \lambda I)V_A(\lambda) \subseteq V_A(\lambda + 2)$ .*

*Proof.* Let  $\Psi$  denote the expression in (i) and observe

$$\Psi = AB - BA - 2A - 2B.$$

For  $v \in V_A(\lambda)$  we evaluate  $\Psi v$  using  $Av = \lambda v$  and find

$$\Psi v = (A - (\lambda + 2)I)(B + \lambda I)v.$$

The result follows.  $\blacksquare$

We refine notation (6) as follows.

**Notation 3.2** With reference to Definition 1.1 let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. For distinct  $r, s \in \mathbb{I}$  and for all  $\lambda \in \mathbb{K}$  we define

$$V_{rs}(\lambda) = \{v \in V \mid X_{rs}v = \lambda v\}. \quad (11)$$

**Theorem 3.3** *Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. For all  $r, s, t, u \in \mathbb{I}$  ( $r \neq s, t \neq u$ ) and for all  $\lambda \in \mathbb{K}$  the action of  $X_{tu}$  on  $V_{rs}(\lambda)$  is given as follows.*

Case	Action of $X_{tu}$ on $V_{rs}(\lambda)$
$t = r, u = s$	$(X_{tu} - \lambda I)V_{rs}(\lambda) = 0$
$t = s, u = r$	$(X_{tu} + \lambda I)V_{rs}(\lambda) = 0$
$t = s, u \neq r$	$(X_{tu} + \lambda I)V_{rs}(\lambda) \subseteq V_{rs}(\lambda + 2)$
$t \neq r, u = s$	$(X_{tu} - \lambda I)V_{rs}(\lambda) \subseteq V_{rs}(\lambda + 2)$
$t = r, u \neq s$	$(X_{tu} - \lambda I)V_{rs}(\lambda) \subseteq V_{rs}(\lambda - 2)$
$t \neq s, u = r$	$(X_{tu} + \lambda I)V_{rs}(\lambda) \subseteq V_{rs}(\lambda - 2)$
$r, s, t, u$ distinct	$X_{tu}V_{rs}(\lambda) \subseteq V_{rs}(\lambda + 2) + V_{rs}(\lambda) + V_{rs}(\lambda - 2)$

We are using Notation 3.2.

*Proof.* We consider each row in the above table.

$t = r, u = s$ : Immediate from (11).

$t = s, u = r$ : Immediate from (11) and since  $X_{rs} = -X_{tu}$  by Definition 1.1(i).

$t = s, u \neq r$ : Combine Definition 1.1(ii) and Lemma 3.1.

$t \neq r, u = s$ : By case  $t = s, u \neq r$  above and since  $X_{ut} = -X_{tu}$ .  
 $t = r, u \neq s$ : By case  $t = s, u \neq r$  above and since  $V_{rs}(\lambda) = V_{sr}(-\lambda)$ .  
 $t \neq s, u = r$ : By case  $t = r, u \neq s$  above and since  $X_{ut} = -X_{tu}$ .  
 $r, s, t, u$  distinct: Combine Definition 1.1(iii) and Lemma 2.1.  $\blacksquare$

**Corollary 3.4** *Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. Then for all  $r, s, t, u \in \mathbb{I}$  ( $r \neq s, t \neq u$ ) and for all  $\lambda \in \mathbb{K}$  we have*

$$X_{tu}V_{rs}(\lambda) \subseteq V_{rs}(\lambda + 2) + V_{rs}(\lambda) + V_{rs}(\lambda - 2).$$

*We are using Notation 3.2.*

*Proof.* The above inclusion holds for each row of the table in Theorem 3.3.  $\blacksquare$

**Theorem 3.5** *Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. Let  $r, s, t$  denote mutually distinct elements in  $\mathbb{I}$ . Then for all  $\lambda \in \mathbb{K}$  we have*

$$V_{rs}(\lambda) + V_{rs}(\lambda - 2) + \cdots = V_{rt}(\lambda) + V_{rt}(\lambda - 2) + \cdots. \quad (12)$$

*We are using Notation 3.2.*

*Proof.* Let  $Y$  denote the left-hand side of (12) and let  $Z$  denote the right-hand side of (12). We show  $Y = Z$ . We first show  $Y \subseteq Z$ . Since the dimension of  $V$  is finite, there exists a nonnegative integer  $j$  such that  $V_{rs}(\lambda - 2i) = 0$  and  $V_{rt}(\lambda - 2i) = 0$  for all integers  $i > j$ . Observe that  $Y = \sum_{i=0}^j V_{rs}(\lambda - 2i)$  and  $Z = \sum_{i=0}^j V_{rt}(\lambda - 2i)$ . By (11) we find  $Z$  is the set of vectors in  $V$  on which

$$\prod_{i=0}^j (X_{rt} - (\lambda - 2i)I) \quad (13)$$

vanishes. Using the table in Theorem 3.3 (row  $t = r, u \neq s$ ) we find that (13) vanishes on  $V_{rs}(\lambda - 2i)$  for  $0 \leq i \leq j$ . Therefore  $V_{rs}(\lambda - 2i) \subseteq Z$  for  $0 \leq i \leq j$  so  $Y \subseteq Z$ .

To get  $Z \subseteq Y$  interchange the roles of  $s, t$  in the argument so far. We conclude that  $Y = Z$  and the result follows.  $\blacksquare$

**Corollary 3.6** *Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module and fix  $\lambda \in \mathbb{K}$ . Then for distinct  $r, s \in \mathbb{I}$  the dimension of  $V_{rs}(\lambda)$  is independent of  $r, s$ . We are using Notation 3.2.*

*Proof.* We define a binary relation on the generators of  $\boxtimes$  called *adjacent*. By definition two distinct generators  $X_{rs}, X_{tu}$  are adjacent whenever  $r = t$  or  $s = u$ . We observe the adjacency relation is symmetric. We further observe that the generators of  $\boxtimes$  are connected with respect to adjacency. By these comments it suffices to show  $V_{rs}(\lambda), V_{tu}(\lambda)$  have the same dimension for adjacent generators  $X_{rs}, X_{tu}$ . First assume  $r = t$ . By Theorem 3.5 we find

$$V_{rs}(\lambda) + V_{rs}(\lambda - 2) + \cdots = V_{tu}(\lambda) + V_{tu}(\lambda - 2) + \cdots. \quad (14)$$



Applying Theorem 3.5 (with  $\lambda$  replaced by  $\lambda - 2$ ), we find

$$V_{rs}(\lambda - 2) + V_{rs}(\lambda - 4) + \cdots = V_{tu}(\lambda - 2) + V_{tu}(\lambda - 4) + \cdots. \quad (15)$$

Let  $H$  denote the sum on either side of (15). Comparing (14) and (15) we find

$$V_{rs}(\lambda) + H = V_{tu}(\lambda) + H. \quad (16)$$

The sum on either side of (16) is direct, so  $V_{rs}(\lambda)$  and  $V_{tu}(\lambda)$  have the same dimension.

Next we assume  $s = u$ . Applying what we have done so far with  $(r, s, t, u, \lambda)$  replaced by  $(s, r, u, t, -\lambda)$  we find  $V_{sr}(-\lambda)$  and  $V_{ut}(-\lambda)$  have the same dimension. Recall that  $X_{sr} = -X_{rs}$  and  $X_{ut} = -X_{tu}$  so  $V_{sr}(-\lambda) = V_{rs}(\lambda)$  and  $V_{ut}(-\lambda) = V_{tu}(\lambda)$ . By these comments  $V_{rs}(\lambda)$  and  $V_{tu}(\lambda)$  have the same dimension. We have now shown  $V_{rs}(\lambda), V_{tu}(\lambda)$  have the same dimension for adjacent generators  $X_{rs}, X_{tu}$  and the result follows. ■

**Corollary 3.7** *Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module and fix  $\lambda \in \mathbb{K}$ . Then for distinct  $r, s \in \mathbb{I}$  the spaces  $V_{rs}(\lambda), V_{rs}(-\lambda)$  have the same dimension. We are using Notation 3.2.*

*Proof.* By Corollary 3.6 we find  $V_{rs}(\lambda), V_{sr}(\lambda)$  have the same dimension. But  $X_{rs} = -X_{sr}$  so  $V_{sr}(\lambda) = V_{rs}(-\lambda)$ . ■

**Theorem 3.8** *Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. Then the following (i), (ii) hold.*

(i) *For distinct  $r, s \in \mathbb{I}$  the generator  $X_{rs}$  is diagonalizable on  $V$ .*

(ii) *There exists an integer  $d \geq 0$  such that for all distinct  $r, s \in \mathbb{I}$  the eigenvalues for  $X_{rs}$  on  $V$  are  $d, d - 2, \dots, -d$ .*

*Proof.* Throughout this proof we will use Notation 3.2. Let  $r, s$  denote distinct elements of  $\mathbb{I}$ . Since  $\mathbb{K}$  is algebraically closed, all the eigenvalues for  $X_{rs}$  on  $V$  are contained in  $\mathbb{K}$ . Since  $V$  has positive finite dimension, the action of  $X_{rs}$  on  $V$  has at least one eigenvalue  $\lambda$ . Since  $\text{Char}(\mathbb{K}) = 0$ , the scalars  $\lambda, \lambda + 2, \dots$  are mutually distinct, so they cannot all be eigenvalues for  $X_{rs}$  on  $V$ ; consequently there exists  $\theta \in \mathbb{K}$  such that  $V_{rs}(\theta) \neq 0$  but  $V_{rs}(\theta + 2) = 0$ . Similarly the scalars  $\theta, \theta - 2, \dots$  are mutually distinct, so they cannot all be eigenvalues for  $X_{rs}$  on  $V$ ; consequently there exists an integer  $d \geq 0$  such that  $V_{rs}(\theta - 2i)$  is nonzero for  $0 \leq i \leq d$  and zero for  $i = d + 1$ . We will now show that

$$V_{rs}(\theta) + \cdots + V_{rs}(\theta - 2d) \quad (17)$$

is equal to  $V$ .

By Corollary 3.4 and since  $V_{rs}(\theta + 2) = 0, V_{rs}(\theta - 2d - 2) = 0$  we find that (17) is  $X_{tu}$ -invariant for all distinct  $t, u \in \mathbb{I}$ . Therefore (17) is  $\boxtimes$ -invariant. Recall the  $\boxtimes$ -module  $V$  is irreducible so (17) is equal to either 0 or  $V$ . By construction each term in (17) is nonzero and there is at least one term, so (17) is nonzero. Therefore (17) is equal to  $V$ . This shows that the action of  $X_{rs}$  on  $V$  is diagonalizable with eigenvalues  $\Delta = \{\theta - 2i | 0 \leq i \leq d\}$ .

It remains to show  $\theta = d$ . By Corollary 3.7 we find  $\Delta = -\Delta$ . It follows that  $\theta = -\theta + 2d$  so  $\theta = d$ . ■

**Definition 3.9** Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. By the *diameter* of  $V$  we mean the nonnegative integer  $d$  from Theorem 3.8(ii).

Our discussion of  $\boxtimes$ -modules will continue after we recall the notion of a flag.

## 4 Flags

**Definition 4.1** Let  $V$  denote a vector space over  $\mathbb{K}$  with positive finite dimension. Let  $d$  denote a nonnegative integer. By a *flag on  $V$  of diameter  $d$* , we mean a sequence  $F_0, F_1, \dots, F_d$  consisting of mutually distinct subspaces of  $V$  such that  $F_0 \neq 0$ ,  $F_{i-1} \subseteq F_i$  for  $1 \leq i \leq d$ , and  $F_d = V$ . We call  $F_i$  the  $i^{\text{th}}$  *component* of the flag.

Let  $V$  denote a vector space over  $\mathbb{K}$  with positive finite dimension. Let  $d$  denote a nonnegative integer. By a *decomposition of  $V$  of diameter  $d$* , we mean a sequence  $V_0, V_1, \dots, V_d$  consisting of nonzero subspaces of  $V$  such that

$$V = V_0 + \dots + V_d \quad (\text{direct sum}).$$

We do not assume each of  $V_0, \dots, V_d$  has dimension 1. For  $0 \leq i \leq d$  we call  $V_i$  the  $i^{\text{th}}$  *subspace* of the decomposition.

The following construction yields a flag on  $V$ . Let  $V_0, \dots, V_d$  denote a decomposition of  $V$ . Set

$$F_i = V_0 + \dots + V_i$$

for  $0 \leq i \leq d$ . Then the sequence  $F_0, \dots, F_d$  is a flag on  $V$ . We say this flag is *induced* by  $V_0, \dots, V_d$ .

Let  $V_0, \dots, V_d$  denote a decomposition of  $V$ . By the *inversion* of this decomposition, we mean the decomposition  $V_d, \dots, V_0$  of  $V$ .

We now discuss the notion of *opposite* flags. Let  $F$  and  $G$  denote flags on  $V$ . These flags are said to be *opposite* whenever there exists a decomposition  $V_0, \dots, V_d$  of  $V$  such that  $F$  is induced by  $V_0, \dots, V_d$  and  $G$  is induced by  $V_d, \dots, V_0$ . In this case

$$F_i \cap G_j = 0 \quad \text{if } i + j < d \tag{18}$$

and

$$V_i = F_i \cap G_{d-i} \quad \text{for } 0 \leq i \leq d. \tag{19}$$

In particular  $V_0, \dots, V_d$  is uniquely determined by the ordered pair  $F, G$ . We say  $V_0, \dots, V_d$  is *induced* by  $F, G$ .

## 5 Finite-Dimensional Irreducible $\boxtimes$ -Modules, Revisited

We return our attention to a finite-dimensional irreducible  $\boxtimes$ -module  $V$ . Recall the set  $\mathbb{I}$  from Definition 1.1 has four elements. With each element of  $\mathbb{I}$  we associate a flag on  $V$ . We start with a definition.

**Definition 5.1** Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. Let  $(r, s)$  denote an ordered pair of distinct elements of  $\mathbb{I}$ . By Theorem 3.8 the sequence  $V_{rs}(-d), V_{rs}(2-d), \dots, V_{rs}(d)$  is a decomposition of  $V$ , where  $d$  denotes the diameter. We will call this the *decomposition of  $V$  associated with  $(r, s)$* .

**Note 5.2** For distinct  $r, s \in \mathbb{I}$  the decomposition of  $V$  associated with  $(s, r)$  is the inversion of the decomposition of  $V$  associated with  $(r, s)$ .

**Lemma 5.3** *Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. Let  $r, s$  denote distinct elements of  $\mathbb{I}$  and consider the decomposition of  $V$  associated with  $(r, s)$ . Then the flag induced by this decomposition is independent of  $s$ .*

*Proof.* Let  $t$  denote an element of  $\mathbb{I}$  such that  $r, s, t$  are mutually distinct. It suffices to show that the flag induced by the decomposition of  $V$  associated with  $(r, s)$  equals the flag induced by the decomposition of  $V$  associated with  $(r, t)$ . Let  $d$  denote the diameter of  $V$ . For  $0 \leq i \leq d$  the  $i^{\text{th}}$  component of the first flag is  $V_{rs}(-d) + \cdots + V_{rs}(2i - d)$  and the  $i^{\text{th}}$  component of the second flag is  $V_{rt}(-d) + \cdots + V_{rt}(2i - d)$ . These components are equal by Theorem 3.5 (with  $\lambda = 2i - d$ ). Therefore the flags are equal. ■

**Definition 5.4** Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. For  $r \in \mathbb{I}$ , by the *flag on  $V$  associated with  $r$*  we mean the flag discussed in Lemma 5.3.

The next corollary restates Lemma 5.3 in light of Definition 5.4.

**Corollary 5.5** *Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. For  $r \in \mathbb{I}$  consider the flag on  $V$  associated with  $r$ . The components of this flag are described as follows. Let  $d$  denote the diameter of  $V$  and pick  $s \in \mathbb{I}$  such that  $r \neq s$ . Then for  $0 \leq i \leq d$  the  $i^{\text{th}}$  component of the flag is*

$$V_{rs}(-d) + V_{rs}(2 - d) + \cdots + V_{rs}(2i - d). \quad (20)$$

**Lemma 5.6** *Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. Recall from Definition 1.1 that  $\mathbb{I}$  has four elements and consider the corresponding flags on  $V$  from Definition 5.4. These four flags are mutually opposite.*

*Proof.* For distinct  $r, s \in \mathbb{I}$  let  $F$  denote the flag on  $V$  associated with  $r$  and let  $G$  denote the flag on  $V$  associated with  $s$ . We show  $F$  and  $G$  are opposite. By Note 5.2 the decomposition of  $V$  associated with  $(r, s)$  is the inversion of the decomposition of  $V$  associated with  $(s, r)$ . The first decomposition induces  $F$  and the second decomposition induces  $G$ . It follows that  $F$  and  $G$  are opposite. ■

**Lemma 5.7** *Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module of diameter  $d$ . Let  $r, s$  denote distinct elements of  $\mathbb{I}$ . For  $0 \leq i \leq d$  the subspace  $V_{rs}(2i - d)$  is the intersection of the following two sets:*

- (i) *The  $i^{\text{th}}$  component of the flag on  $V$  associated with  $r$ .*
- (ii) *The  $(d - i)^{\text{th}}$  component of the flag on  $V$  associated with  $s$ .*

*Proof.* By Definition 5.4 the flag on  $V$  associated with  $r$  is induced by  $V_{rs}(-d), \dots, V_{rs}(d)$  and the flag on  $V$  associated with  $s$  is induced by  $V_{rs}(d), \dots, V_{rs}(-d)$ . The result follows. ■

## 6 From $\boxtimes$ -Modules to $\mathcal{O}$ -Modules

In this section we prove Theorem 1.7. We begin with a lemma.

**Lemma 6.1** *Let  $V$  denote a finite-dimensional irreducible  $\boxtimes$ -module. Let  $r, s, t, u$  denote mutually distinct elements of  $\mathbb{I}$ . Let  $W$  denote a nonzero subspace of  $V$  such that  $X_{rs}W \subseteq W$ ,  $X_{tu}W \subseteq W$ . Then  $W = V$ .*

*Proof.* Without loss of generality, we assume  $W$  is irreducible as a module for  $X_{rs}, X_{tu}$ . To show  $W = V$ , it suffices to show that  $W$  is  $\boxtimes$ -invariant. To this end, we show

$$X_{ab}W \subseteq W \tag{21}$$

for all distinct  $a, b \in \mathbb{I}$ . Assume  $ab$  is not one of  $rs, tu, sr, ut$ ; otherwise (21) holds by the construction and (1). Replacing an appropriate subset of  $\{rs, tu, ab\}$  by the corresponding subset of  $\{sr, ut, ba\}$  we may assume, without loss of generality, that  $a = s$  and  $b = t$ . Therefore it suffices to show  $X_{st}W \subseteq W$ . We define  $W' := \{w \in W \mid X_{st}w \in W\}$  and show  $W' = W$ . By (2) we routinely find that  $X_{rs}W' \subseteq W'$  and  $X_{tu}W' \subseteq W'$ . By these comments and the irreducibility of  $W$  we find either  $W' = 0$  or  $W' = W$ .

*Claim.*  $W' \neq 0$ .

*Proof of claim.* Let  $d$  denote the diameter of  $V$ . Define  $W_j = W \cap V_{rs}(2j - d)$  for  $0 \leq j \leq d$ . The nonzero spaces among  $W_0, \dots, W_d$  are eigenspaces of  $X_{rs}$  on  $W$ . By Theorem 3.8 we find  $W = \sum_{j=0}^d W_j$ . By this and since  $W \neq 0$  we find  $W_0, \dots, W_d$  are not all 0. Define  $m = \min\{j \mid 0 \leq j \leq d, W_j \neq 0\}$ .

Define  $W_j^* = W \cap V_{tu}(2j - d)$  for  $0 \leq j \leq d$ . The nonzero spaces among  $W_0^*, \dots, W_d^*$  are eigenspaces of  $X_{tu}$  on  $W$ . By Theorem 3.8 we find  $W = \sum_{j=0}^d W_j^*$ . By this and since  $W \neq 0$  we find  $W_0^*, \dots, W_d^*$  are not all 0. Define  $n = \min\{j \mid 0 \leq j \leq d, W_j^* \neq 0\}$ .

We show  $m = n$ . To do this we first assume  $m < n$  and get a contradiction. By construction  $W_j^* \subseteq V_{tu}(2j - d)$  for  $0 \leq j \leq d$  and  $W = \sum_{j=n}^d W_j^*$  so  $W \subseteq \sum_{j=n}^d V_{tu}(2j - d)$ . Therefore  $W$  is contained in the  $(d - n)^{th}$  component of the flag on  $V$  associated with  $u$ . By construction  $W_m \subseteq V_{rs}(2m - d)$  so  $W_m \subseteq \sum_{j=0}^m V_{rs}(2j - d)$ . Therefore  $W_m$  is contained in the  $m^{th}$  component of the flag on  $V$  associated with  $r$ . Recall by Lemma 5.6 that the flag on  $V$  associated with  $u$  and the flag on  $V$  associated with  $r$  are opposite. By (18) the  $(d - n)^{th}$  component of the flag on  $V$  associated with  $u$  and the  $m^{th}$  component of the flag on  $V$  associated with  $r$  have 0 intersection. Therefore  $W \cap W_m = 0$ , contradicting the fact that  $W_m$  is nonzero in  $W$ . Therefore  $m \geq n$ .

Next we assume  $m > n$  and get a contradiction. By construction  $W_j \subseteq V_{rs}(2j - d)$  for  $0 \leq j \leq d$  and  $W = \sum_{j=m}^d W_j$  so  $W \subseteq \sum_{j=m}^d V_{rs}(2j - d)$ . Therefore  $W$  is contained in the  $(d - m)^{th}$  component of the flag on  $V$  associated with  $s$ . By construction  $W_n^* \subseteq V_{tu}(2n - d)$  so  $W_n^* \subseteq \sum_{j=0}^n V_{tu}(2j - d)$ . Therefore  $W_n^*$  is contained in the  $n^{th}$  component of the flag on  $V$  associated with  $t$ . Recall by Lemma 5.6 that the flag on  $V$  associated with  $s$  and the flag on  $V$  associated with  $t$  are opposite. By (18) the  $(d - m)^{th}$  component of the flag on  $V$  associated with  $s$  and the  $n^{th}$  component of the flag on  $V$  associated with  $t$  have 0 intersection. Therefore  $W \cap W_n^* = 0$ , contradicting the fact that  $W_n^*$  is nonzero in  $W$ . Therefore  $m \leq n$ .

Combining the previous two paragraphs we find  $m = n$ . By the previous paragraph we find  $W_n^*$  is contained in the intersection of the  $(d - m)^{th}$  component of the flag on  $V$  associated with  $s$  and the  $m^{th}$  component of the flag on  $V$  associated with  $t$ . By Lemma 5.7 we find  $W_n^* \subseteq V_{st}(d - 2m)$ . Since  $V_{st}(d - 2m)$  is an eigenspace for  $X_{st}$  we find  $X_{st}W_n^* \subseteq W_n^*$ . Therefore  $W_n^* \subseteq W'$ , so  $W' \neq 0$  and the claim is proved.

This shows that  $W' = W$  and therefore  $X_{st}W \subseteq W$ . By this and the comments prior to the claim we have now shown that  $X_{ab}W \subseteq W$  for all distinct  $a, b \in \mathbb{I}$ . Therefore  $W$  is  $\boxtimes$ -invariant. Since the  $\boxtimes$ -module  $V$  is irreducible we have  $W = V$ . ■

We are now ready to prove Theorem 1.7.

*Proof of Theorem 1.7.* Since  $X_{01}, X_{23}$  satisfy (4) and (5) there exists an  $\mathcal{O}$ -module structure on  $V$  such that  $X, Y$  act on  $V$  as  $X_{01}, X_{23}$  respectively. This structure is unique since  $X, Y$  generate  $\mathcal{O}$ . This structure is irreducible by Lemma 6.1. This structure has type  $(0, 0)$  by Theorem 3.8(ii). ■

## 7 From $\mathcal{O}$ -Modules to $\boxtimes$ -Modules

In this section we prove Theorem 1.8. We refer to the following setup.

**Definition 7.1** Let  $V$  denote a finite-dimensional irreducible  $\mathcal{O}$ -module of type  $(0, 0)$ . Let  $A, A^*$  denote the actions on  $V$  of the generators  $X, Y$  respectively and recall by Corollary 2.7 that  $A, A^*$  is a tridiagonal pair on  $V$ . Let  $\mathbb{I}$  denote the set consisting of the four symbols  $\{0, 1, 2, 3\}$ . With each element of  $\mathbb{I}$  we will associate a flag on  $V$  of diameter  $d$  where  $d$  denotes the diameter of  $V$ . For  $0 \leq i \leq d$  the  $i^{th}$  component of this flag is given as follows.

Element of $\mathbb{I}$	$i^{th}$ component of associated flag
0	$V_A(-d) + \cdots + V_A(2i - d)$
1	$V_A(d) + \cdots + V_A(d - 2i)$
2	$V_{A^*}(-d) + \cdots + V_{A^*}(2i - d)$
3	$V_{A^*}(d) + \cdots + V_{A^*}(d - 2i)$

**Lemma 7.2** [16, Theorem 7.3] *The four flags from Definition 7.1 are mutually opposite.*

**Definition 7.3** Adopt the assumptions of Definition 7.1. For distinct  $r, s \in \mathbb{I}$  let  $x_{rs} : V \rightarrow V$  denote the unique linear transformation satisfying the following conditions. By Definition 7.1 each of  $r, s$  is associated with a flag on  $V$  of diameter  $d$ . By Lemma 7.2 the flags  $r, s$  are opposite; let  $U_0, \dots, U_d$  denote the induced decomposition of  $V$ . For  $0 \leq i \leq d$ ,  $U_i$  is an eigenspace for  $x_{rs}$  with eigenvalue  $2i - d$ .

We will be using the following notation.

**Notation 7.4** With reference to Definition 7.3, for distinct  $r, s \in \mathbb{I}$  and for all  $\lambda \in \mathbb{K}$  we define

$$V_{rs}(\lambda) = \{v \in V \mid x_{rs}v = \lambda v\}.$$

The next two lemmas illustrate the notation in Definition 7.3 and will be useful later in the paper.

**Lemma 7.5** *With reference to Definition 7.3, we have  $x_{01} = A$  and  $x_{23} = A^*$ .*

*Proof.* Immediate from Definition 7.3. ■

**Lemma 7.6** *With reference to Definition 7.3, for  $r \in \mathbb{I}$  consider the flag on  $V$  associated with  $r$ . The components of this flag are described as follows. Pick  $s \in \mathbb{I}$  such that  $r \neq s$ . Then for  $0 \leq i \leq d$  the  $i^{\text{th}}$  component of the flag is*

$$V_{rs}(-d) + \cdots + V_{rs}(2i - d).$$

*We are using Notation 7.4.*

*Proof.* By Definition 7.3 the decomposition  $V_{rs}(-d), \dots, V_{rs}(d)$  of  $V$  is induced by the flag on  $V$  associated with  $r$  and the flag on  $V$  associated with  $s$ . By the discussion at the end of Section 4 the flag  $r$  is induced by  $V_{rs}(-d), \dots, V_{rs}(d)$ . The result follows. ■

We will need the following three lemmas.

**Lemma 7.7** *With reference to Definition 7.3, for distinct  $r, s \in \mathbb{I}$  we have*

$$x_{rs} + x_{sr} = 0.$$

*Proof.* Let  $U_0, \dots, U_d$  denote the decomposition induced by the flag on  $V$  associated with  $r$  and the flag on  $V$  associated with  $s$ . By Definition 7.3 and with reference to Notation 7.4, we find  $V_{rs}(2i - d) = U_i = V_{sr}(d - 2i)$  for  $0 \leq i \leq d$ . The result follows. ■

**Lemma 7.8** *With reference to Definition 7.3, for mutually distinct  $r, s, t \in \mathbb{I}$  we have*

$$[x_{rs}, x_{st}] = 2x_{rs} + 2x_{st}. \quad (22)$$

*Proof.* Throughout this proof we will use Notation 7.4.

We break our proof into the following three cases:

- (i)  $st$  is one of 01, 10, 23, 32.
- (ii)  $rs$  is one of 01, 10, 23, 32.
- (iii) Neither  $rs$  nor  $st$  is one of 01, 10, 23, 32.

Case (i): We will invoke Lemma 3.1. We begin with some comments. Recall that  $x_{rs}$  is diagonalizable on  $V$  with eigenvalues  $d, d - 2, \dots, -d$ . We now show that

$$(x_{st} + (2i - d)I)V_{rs}(2i - d) \subseteq V_{rs}(2i - d + 2) \quad (23)$$

for  $0 \leq i \leq d$ . Let  $u$  denote the unique element of  $\mathbb{I}$  such that  $r, s, t, u$  are mutually distinct. By Lemma 7.5 and Lemma 7.7 we find that  $x_{st}, x_{ur}$  is either  $\epsilon A, \epsilon^* A^*$  or  $\epsilon^* A^*, \epsilon A$  for some  $\epsilon, \epsilon^* \in \{1, -1\}$ . By this and Definition 7.1 we find  $x_{st}, x_{ur}$  is a tridiagonal pair on  $V$  of diameter  $d$  and  $d, d - 2, \dots, -d$  is both an eigenvalue sequence and dual eigenvalue sequence of  $x_{st}, x_{ur}$ . By Lemma 7.6 and using [10, Theorem 4.6] we find that (23) holds for  $0 \leq i \leq d$ . By this and Lemma 3.1 we find (22) holds.

Case (ii): Observe that  $sr$  is one of 01, 10, 23, 32. By case (i) of the current proof we find that

$$[x_{ts}, x_{sr}] = 2x_{ts} + 2x_{sr}. \quad (24)$$

By Lemma 7.7 we find  $x_{ts} = -x_{st}$  and  $x_{sr} = -x_{rs}$ . Substituting this into (24) and simplifying the result we find that (22) holds.

Case (iii): Similarly to case (i) we will invoke Lemma 3.1. Recall that  $x_{rs}$  is diagonalizable on  $V$  with eigenvalues  $d, d-2, \dots, -d$ . We now show that

$$(x_{st} + (2i-d)I)V_{rs}(2i-d) \subseteq V_{rs}(2i-d+2) \quad (25)$$

for  $0 \leq i \leq d$ . Observe  $rt$  is one of 01, 10, 23, 32. By case (ii) of the current proof we find that  $[x_{rt}, x_{ts}] = 2x_{rt} + 2x_{ts}$ . By Lemma 3.1 (with  $\lambda = d-2i$ ) and since  $x_{rt} = -x_{tr}$ ,  $x_{ts} = -x_{st}$  we find

$$(x_{st} + (2i-d)I)V_{tr}(2i-d) \subseteq V_{tr}(2i-d-2) \quad 0 \leq i \leq d. \quad (26)$$

For  $0 \leq i \leq d$  we have

$$\begin{aligned} (x_{st} + (2i-d)I)V_{rs}(2i-d) &\subseteq (x_{st} + (2i-d)I) \sum_{n=0}^i V_{rs}(2n-d) \\ &= (x_{st} + (2i-d)I) \sum_{n=0}^i V_{tr}(d-2n) && \text{by Lemma 7.6} \\ &\subseteq \sum_{n=0}^{i+1} V_{tr}(d-2n) && \text{by (26)} \\ &= \sum_{n=0}^{i+1} V_{rs}(2n-d) && \text{by Lemma 7.6} \end{aligned}$$

and

$$\begin{aligned} (x_{st} + (2i-d)I)V_{rs}(2i-d) &\subseteq (x_{st} + (2i-d)I) \sum_{n=i}^d V_{rs}(2n-d) \\ &= (x_{st} + (2i-d)I) \sum_{n=i}^d V_{st}(d-2n) && \text{by Lemma 7.6} \\ &= \sum_{n=i+1}^d V_{st}(d-2n) \\ &= \sum_{n=i+1}^d V_{rs}(2n-d) && \text{by Lemma 7.6.} \end{aligned}$$

Combining these observations we find that (25) holds for  $0 \leq i \leq d$ . By this and Lemma 3.1 we find that (22) holds.  $\blacksquare$

**Lemma 7.9** *With reference to Definition 7.3, for mutually distinct  $r, s, t, u \in \mathbb{I}$  we have*

$$[x_{rs}, [x_{rs}, [x_{rs}, x_{tu}]]] = 4[x_{rs}, x_{tu}]. \quad (27)$$

*Proof.* Throughout this proof we will use Notation 7.4.

We will invoke Lemma 2.1. We begin with some comments. Recall that  $x_{rs}$  is diagonalizable on  $V$  with eigenvalues  $d, d-2, \dots, -d$ . We now show that

$$x_{tu}V_{rs}(2i-d) \subseteq V_{rs}(2i-d+2) + V_{rs}(2i-d) + V_{rs}(2i-d-2) \quad (28)$$

for  $0 \leq i \leq d$ . By Lemma 7.8 we find  $[x_{st}, x_{tu}] = 2x_{st} + 2x_{tu}$ . By this and Lemma 3.1 we find

$$(x_{tu} + (2i-d)I)V_{st}(2i-d) \subseteq V_{st}(2i-d+2) \quad 0 \leq i \leq d. \quad (29)$$

By Lemma 7.8 we find  $[x_{ru}, x_{ut}] = 2x_{ru} + 2x_{ut}$ . By Lemma 3.1 (with  $\lambda = d-2i$ ) and since  $x_{ru} = -x_{ur}$ ,  $x_{ut} = -x_{tu}$  we find

$$(x_{tu} + (2i-d)I)V_{ur}(2i-d) \subseteq V_{ur}(2i-d-2) \quad 0 \leq i \leq d. \quad (30)$$

For  $0 \leq i \leq d$  we have

$$\begin{aligned} x_{tu}V_{rs}(2i-d) &\subseteq x_{tu} \sum_{n=i}^d V_{rs}(2n-d) \\ &= x_{tu} \sum_{n=i}^d V_{st}(d-2n) && \text{by Lemma 7.6} \\ &\subseteq \sum_{n=i-1}^d V_{st}(d-2n) && \text{by (29)} \\ &= \sum_{n=i-1}^d V_{rs}(2n-d) && \text{by Lemma 7.6} \end{aligned}$$

and

$$\begin{aligned} x_{tu}V_{rs}(2i-d) &\subseteq x_{tu} \sum_{n=0}^i V_{rs}(2n-d) \\ &= x_{tu} \sum_{n=0}^i V_{ur}(d-2n) && \text{by Lemma 7.6} \\ &\subseteq \sum_{n=0}^{i+1} V_{ur}(d-2n) && \text{by (30)} \\ &= \sum_{n=0}^{i+1} V_{rs}(2n-d) && \text{by Lemma 7.6.} \end{aligned}$$

Combining these observations we find that (28) holds for all  $0 \leq i \leq d$ . By this and Lemma 2.1 we find that (27) holds.  $\blacksquare$



**Theorem 7.10** *With reference to Definition 7.3, there exists a unique  $\boxtimes$ -module structure on  $V$  such that the generator  $X_{rs}$  acts on  $V$  as  $x_{rs}$  for all distinct  $r, s \in \mathbb{I}$ . This  $\boxtimes$ -module structure is irreducible.*

*Proof.* Using Lemma 7.7, Lemma 7.8, and Lemma 7.9 we find that there exists a  $\boxtimes$ -module structure on  $V$  such that  $X_{rs}$  acts on  $V$  as  $x_{rs}$  for distinct  $r, s \in \mathbb{I}$ . This module structure is unique since the set  $\{X_{rs} | r, s \in \mathbb{I}, r \neq s\}$  is a generating set for  $\boxtimes$ . By Lemma 7.5 we find that  $A, A^*$  are among the linear transformations  $x_{rs}$ ,  $r, s \in \mathbb{I}$ ,  $r \neq s$ . Recall that  $A, A^*$  is a tridiagonal pair on  $V$ . Therefore the  $\boxtimes$ -module  $V$  is irreducible by Definition 2.2(iv). ■

We are now ready to prove Theorem 1.8.

*Proof of Theorem 1.8.* Consider the  $\boxtimes$ -module structure on  $V$  from Theorem 7.10. In this module structure  $X_{01}, X_{23}$  act on  $V$  as  $X, Y$  respectively by Definition 7.1 and Lemma 7.5. Next we show that this  $\boxtimes$ -module structure is unique. Suppose we are given any  $\boxtimes$ -module structure on  $V$  where  $X_{01}, X_{23}$  act on  $V$  as  $X, Y$  respectively. This module structure is irreducible since the  $\mathcal{O}$ -module  $V$  is irreducible. For each generator  $X_{rs}$  of  $\boxtimes$  the action on  $V$  is determined by the decomposition of  $V$  associated with  $(r, s)$ . By Lemma 5.7 the decomposition of  $V$  associated with  $(r, s)$  is determined by the flag on  $V$  associated with  $r$  and the flag on  $V$  associated with  $s$ . Therefore our  $\boxtimes$ -module structure on  $V$  is determined by the four flags associated with the four elements of  $\mathbb{I}$ . By Corollary 5.5 the four flags associated with the four elements of  $\mathbb{I}$  are determined by the actions of  $X_{01}$  and  $X_{23}$  on  $V$ . Therefore the given  $\boxtimes$ -module structure on  $V$  is determined by the actions of  $X_{01}$  and  $X_{23}$  on  $V$ , so the  $\boxtimes$ -module structure is unique. We have now shown there exists a unique  $\boxtimes$ -module structure on  $V$  where  $X_{01}, X_{23}$  act on  $V$  as  $X, Y$  respectively. We mentioned earlier that this module structure is irreducible. ■

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